

## Insurer Profile Questionnaire

As of 12/31/2011

Company Name: \_\_\_\_\_ NAIC #: \_\_\_\_\_

Contact Person: \_\_\_\_\_ Signature: \_\_\_\_\_

E-mail Address: \_\_\_\_\_ Telephone #: \_\_\_\_\_

The information provided in this Questionnaire will be kept confidential in accordance with Indiana Code 27-1-3.1-15.

1. Regarding the Company's  Holding Company System , please provide the following:
  - A. Business segments and percent of overall revenue per segment
  - B. Number of insurers and respective jurisdictions
  - C. Level of international insurance activities (including branches)
  - D. Nature and function of all non-insurance legal entities within the holding company system
  - E. Briefly describe key strengths and weakness of the holding company system
  - F. Briefly describe the holding company debt including the terms, maturity schedules, and its ability to meet payments
  - G. Briefly describe the key prospective risks within the holding company system
  - H. Briefly describe the level of reputational risk that the holding company poses to the Company

Company's response:

2. Describe the nature of the Company's interdependence on the holding company or affiliated entities for the following:
  - A. Business operations (e.g. employees, services provides)
  - B. Financial stability (e.g. reinsurance and/or capital support in the near term).

Company's response:

- 3. Please briefly describe the following (a) the Company's role within the holding company structure, (b) the holding company's ability and willingness to infuse additional capital into the Company if necessary, and (c) management's dividend expectations of the Company to assist with holding company initiatives and/or holding company debt service obligations.**

Company's response:

- 4. Please provide the general locations of the facilities where the Company's operational, administrative, and managerial functions are performed. Please briefly explain whether these functions are performed by the Company's employees, employees of an affiliated company, and/or third parties.**

Company's response:

- 5. Please explain if management performs risk assessment on a legal entity basis or on a consolidated basis, and explain management's overall risk assessment process and the types of risks it covers.**

Company's response:

- 6. During the previous year has the Company implemented any new information systems that materially impact financial reporting? If yes, please explain. Are any such projects planned for this year? If yes, please explain.**

Company's response

- 7. Please briefly describe any benchmarks Management uses to monitor the Company's performance and financial condition. (example: A.M. Best rating, target RBC ratio, and/or other financial ratios)**

Company's response:

- 8. Please describe the Company's overall investment strategy, including primary goals, asset allocation, and portfolio diversification objectives. Please identify the primary officers and/or outside advisors who manage the investment portfolio.**

Company's response:

- 9. At a macro level, please briefly explain the Company's underwriting and marketing strategy including a description of its primary products, target market or customer groups and distribution channels.**

Company's response:

- 10. At a macro level, please briefly describe the Company's ceded and assumed reinsurance programs including the type of business, risk retention, and program objectives.**

Company's response:

- 11. Please briefly explain how the Company adjusts and pays claims including how and by whom the claim reserves are set. Please explain the Company's internal review process for monitoring the adequacy of rates and reserves.**

Company's response: